IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF NORTH CAROLINA CHARLOTTE DIVISION

וואודייביה פייאייביפ הבי אושבים במי

UNITED STATES OF AMERICA,

DOCKET NO. 3:15-CR-222

vs.

CHRISTOPHER JAMES BARKER,

Defendant.

TRANSCRIPT OF SENTENCING HEARING BEFORE THE HONORABLE FRANK D. WHITNEY UNITED STATES CHIEF DISTRICT COURT JUDGE TUESDAY, JANUARY 19, 2016 AT 2:00 P.M.

APPEARANCES:

On Behalf of the Government:

MICHAEL E. SAVAGE, ASSISTANT U.S. ATTORNEY U.S. Attorney's Office 227 W. Trade Street, Suite 1650 Charlotte, North Carolina 28202

On Behalf of the Defendant:

RICHARD EUGENE BEAM, JR., ESQ. Law Offices of Richard Beam P.O. Box 2401 262 W. Main Avenue Gastonia, North Carolina 28053

> JILLIAN M. TURNER, RMR, CRR, CLR Official Court Reporter United States District Court Charlotte, North Carolina

(Tuesday, January 19, 2016 at 2:00 p.m.) 1 PROCEEDINGS 2 3 (Counsel and defendant present.) THE COURT: Good afternoon. 4 5 THE COURTROOM AUDIENCE: Good afternoon. 6 THE COURT: We're here in United States v. 7 Christopher James Barker for Mr. Barker's sentencing. It's 8 case 3:15-CR-222. Mr. Beam is here on behalf of the 9 defendant, and Mr. Savage is here on behalf of the United 10 States. Mr. Barker, would you please stand. 11 Mr. Barker, do you recall appearing before 12 13 Magistrate Judge David Cayer on September 28 of 2015 for the 14 purpose of entering a plea of guilty? THE DEFENDANT: Yes, sir, I do. 15 16 THE COURT: Do you remember being put under oath at 17 that time? 18 THE DEFENDANT: Yes, sir. 19 THE COURT: Do you remember answering a series of 20 questions of Judge Cayer? 21 THE DEFENDANT: Yes, sir. 22 THE COURT: Were all your answers to all of his 23 questions true and correct? 24 THE DEFENDANT: Yes, sir, they were. 25 THE COURT: If I were to ask you those same

questions today, would your answers be the same?

THE DEFENDANT: Yes.

THE COURT: And on that day you were asked to review an electronic document known as Acceptance of Plea Form. That document lists all the questions that the judge asked you during the course of the hearing. As you answer each question, he checks off your answer. At end of the hearing you're asked to review his checks to make sure he accurately checked off the right box and you're asked to sign it.

Did you review the document for accuracy and did you sign it?

THE DEFENDANT: Yes, sir, I did, and I did sign.

THE COURT: Thank you, sir.

Mr. Beam, were you present at that Rule 11 hearing?

MR. BEAM: I was, Your Honor.

THE COURT: Do you believe Mr. Barker fully understood Judge Cayer's questions?

MR. BEAM: Yes, sir, I do.

THE COURT: Thank you. Mr. Barker, one last question. It's the most important question that I'll ask you today. On September 28 of 2015, you admitted to Judge David Cayer that you were guilty of two federal felonies. One of those was conspiracy to defraud the United States; the other was possession of ammunition by a prohibited person.

Now, I ask you again this afternoon are you, in fact, guilty of those two federal felonies?

THE DEFENDANT: Yes, sir, I am.

THE COURT: Thank you, sir.

Based upon those representations and the answers given by the defendant at the Rule 11 hearing before

Magistrate Judge Cayer, the Court affirms the magistrate judge's findings that the defendant's plea was -- pleas were knowingly and voluntarily made, and that defendant fully understood the charges, potential penalties, and consequences of his two pleas of guilty. Accordingly, the Court affirms the magistrate judge's acceptance of the defendant's plea of guilty at the Rule 11 hearing.

Now, this Court independent of the magistrate judge, after reviewing the record in this case, including the Rule 11 colloquy, also finds as a matter of fact that defendant's plea was knowingly and voluntarily made, and that the defendant fully understood the charges, potential penalties, and consequences of his pleas. Accordingly, this Court accepts the defendant's two pleas of guilty at the Rule 11 hearing, and this Court hereby adjudicates the defendant guilty of the two crimes of the conspiracy to defraud the United States in violation of Title 18, U.S. Code, Section 371, and possession of ammunition by a prohibited person in violation of Title 18, U.S. Code,

Section 922(q).

Now, the parties entered into a written factual basis. That written factual basis is found -- is Document No. 30 in the Electronic Case File and was adopted as the offense conduct for the presentence report. The magistrate judge relied on this written factual basis as well as the defendant's admissions to find there was a factual basis for the entry of the two pleas. This Court affirms the magistrate judge or the judge's finding there was a factual basis, and this Court independently finds based on the written factual basis and the defendant's admissions before this Court that there's a factual basis for the entry of the two pleas of guilty.

Now, Mr. Barker, after you entered your two pleas of guilty, your case was referred to the United States

Probation Office for a presentence investigation report. I'm holding a copy of that report up.

Have you received a copy of this report?

THE DEFENDANT: Yes, sir, I have.

THE COURT: Have you read it?

THE DEFENDANT: I have. Yes, sir.

THE COURT: Do you understand it?

THE DEFENDANT: I do.

THE COURT: And have you had an opportunity to go over the report with Mr. Beam?

THE DEFENDANT: Yes, sir, I have.

THE COURT: And has he answered any and all questions you have regarding the report?

THE DEFENDANT: Yes, sir.

THE COURT: All right. Thank you.

There are pending objections or at least one pending objection. Is that objection still ripe since the Government's filed a motion?

MR. SAVAGE: Yes, Your Honor. I think that that would -- you would need to decide that and make findings for that in order to decide where the departure should begin.

THE COURT: All right. All right. The defendant objects to the base offense level 22 because the large capacity magazine in the defendant's possession was not allegedly in close proximity to the firearm involved in the instant offense since the firearm was never actually possessed by the defendant.

I will hear argument from Mr. Beam as to why his objection should be sustained.

MR. BEAM: Your Honor, as the Court was just quoting from the Commentary No. 2 to 2K2.1, that -- the commentary says what it says, which is that the magazine must be in close proximity to the firearm. Here, there was no firearm in the residence. My client did have the clip, but the firearm -- that gets into the issue of I think the first

count that we entered the plea to as to how the Court deems as to the time of the offense. I understand the Government has some evidence on that, and quite frankly, I think it would be better for them to talk about that, and we'll address the legal issue with the Court's permission.

THE COURT: All right. If the Government is intending to present evidence, then we'll proceed.

MR. SAVAGE: Yes, Your Honor, and I'll proceed by way of proffer. I have agents if the defense wishes to cross-examine them, but I think it's a pretty simple issue.

Your Honor, one of the charges here is conspiracy. The conspiracy was thwarted, as shown from the presentence report. When the defendant went -- the defendant's accomplice, Mr. Litteral went to the Gander Mountain store in Gastonia, North Carolina, to purchase a Model MP-15 Smith & Wesson assault rifle on behalf of Mr. Barker, who at the time had a felony conviction that made him a prohibited person.

As the Court is aware, there was a court ordered electronic surveillance in which phone conversations between the defendant and Mr. Barker could be overheard in which it was absolutely clear Mr. Barker knew -- Mr. Litteral knew that Mr. Barker was a prohibited person.

THE COURT: Am I permitted to consider the T3 record?

1 MR. SAVAGE: Well, I think you can, Your Honor.

THE COURT: Without you separately introducing it. You can separately introduce it.

MR. SAVAGE: Yes, Your Honor, we can. But I think the factual basis that is in -- that is in the presentence report lays this out in paragraph 9. You can certainly consider that. And it's just by way of background to inform the Court as is present as the presentence report notes. If I can find that. I refer the Court to paragraph 9.

THE COURT: That's in your motion too, isn't it?

Or in your opposition to the objection?

MR. SAVAGE: Yes, Your Honor.

So paragraph 9 are the facts we'd like the Court to consider; and that is, the conspiracy wasn't completed only because the Government intervened. During the time -- and give the Court some background.

Obviously, the Court's aware that in order to buy a gun in this country, you have to have a background check.

The FBI was aware that the transaction was taking place. It was aware that Mr. Barker if he had received the gun would have been an intended purpose and, therefore, acted to prevent the gun from being delivered.

So under 2X1.1, which is the conspiracy guideline.

THE COURT: Right.

MR. SAVAGE: That would apply. And all these

special characteristics under the underlying offense should apply. And there is no deduction if it appears that everything was done -- everything could have been done by the defendants was done but for an intervening act that prevented the completion of the offense.

So at the time that Mr. Litteral went to the -went to the Gander Mountain store -- I have two exhibits,
Your Honor, one of them which is attached. And I'll mark the
weapon as Government's Exhibit 1.

The actual weapon that was purchased had a specific serial number. Mr. Litteral filled out a form, which is the ATF form, and had that serial number on it. He goes to the counter, and he has the box with the particular weapon in it. And I have marked that as Government's Exhibit No. 1.

If I could approach, Your Honor? The box has in it --

THE COURT: I'm going to come down and join you. I don't have enough room up here.

MR. SAVAGE: Has in it not only the weapon, but a magazine, which is an MPM Mag 30 ar/m4 magazine.

THE COURT: Mr. Beam, do you dispute that at the purchase at Gander Mountain the magazine was in the box? Are you disputing that?

MR. BEAM: No, sir. I don't think we have any evidence to the contrary.

MR. SAVAGE: Okay. And, Your Honor, I might also 1 2 show you what's been marked as Government's Exhibit 2, which is the description of this item, which clearly shows that the 3 gun comes with the weapon, a stock, a magazine, and a lock. 4 5 Is this the actual weapon, or is this THE COURT: 6 for demonstration? This is the actual weapon. 7 MR. SAVAGE: No. Ιt 8 has the same serial number as the --9 THE COURT: There was one thing I saw in your 10 pleading that actually is actually incorrect. inoperable without the magazine. I think you can fire one 11 12 round without the magazine. 13 MR. SAVAGE: Well, that being --14 Is there an ATF expert in here? THE COURT: 15 MR. SAVAGE: No, I don't. 16 The Court is correct there. MR. BEAM: 17 You can load -- you can load one round THE COURT: at a time and it loses its semi-automatic capability. 18 Semi-automatic is when it --19 20 MR. SAVAGE: Well, I stand corrected on that, Your 21 Honor. 22 THE COURT: Thank you. 23 We have other people that do that for MR. SAVAGE: 24 us. 25 THE COURT: Exactly.

MR. SAVAGE: So, Your Honor, at this time we would move for admission of Government's Exhibits 1 and 2 as Government's response -- part of Government's response to the motion.

THE COURT: Any objection?

MR. BEAM: No, sir.

THE COURT: All right. 1 and 2 will be admitted.

(Government's Exhibits Nos. 1 and 2 were received into evidence.)

MR. SAVAGE: Okay. And, Your Honor, even if -even if that were not the case and they weren't together at
the same time, the Court could still find that the guns were
in proximity to each other because it was an intended
offense.

I think much like the Court would remember the Fourth Circuit's ruling in *Kazai* (phonetic), that as long as the Court makes findings with regards to what was intended at the time.

I think that it is fairly obvious from the evidence in the presentence report, without even further evidence, that on the very same day in which Mr. Litteral and Mr. Barker are planning to buy the gun, they discuss buying 100 rounds, which I have here, of ammunition and another 30-round magazine. And at some point in time had the gun -- the -- had the crime not been thwarted, Mr. Litteral intended

to deliver that to Mr. Barker, where it would have been in
the same place as the magazine and the bullets, which were in
the dresser drawer of Mr. Barker's house when he agreed to a
search of that house on August 1st, 2015.

So for those reasons, Your Honor, we think that the
Court should make findings and overrule the defendant's
objections.

THE COURT: All right. Mr. Beam, of that, any part

THE COURT: All right. Mr. Beam, of that, any part of that proffer you disagree with?

MR. BEAM: No, sir.

THE COURT: All right.

MR. BEAM: That was my understanding of what the evidence was also.

THE COURT: All right. There appears to be no dispute as to the evidence. There's a dispute as to the interpretation of in close proximity to the firearm --

MR. BEAM: Correct.

THE COURT: -- under 2K2.1, Comment Note 2.

So I'll hear argument, Mr. Beam.

MR. BEAM: Well, Your Honor, you've summarized it fairly accurately. It's a question of how you interpret it. Clearly, the magazine that Mr. Barker had was not in close proximity to the weapon. The weapon never arrived at his residence.

THE COURT: But -- but the weapon and the magazine

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were in the same box at the moment of purchase, correct? 1 MR. BEAM: Well, I -- I -- I would assume so. 2 3 I know the magazine comes with the firearm. THE COURT: Right. Well, Exhibit 2 does list the 4 5 magazine --6 Yes, sir. MR. BEAM: 7 THE COURT: -- as part of the items that 8 collectively purchased in that box. 9 MR. BEAM: Correct. 10 THE COURT: Okay. So it's a question of whether the Court 11 MR. BEAM: 12 applies it as the purchase of the firearm or whether you look 13 at how the situation was at the time the search was actually 14 executed. THE COURT: All right. So as to the conspiracy, 15 16 though, are you agreeing that if we look at it from the 17 conspiracy perspective, not from the 922(g) perspective, that 18 the weapon was in close proximity to the -- or excuse me, the 19 other way around. The ammunition or the magazine was in 20 close proximity to the firearm for the purposes of 21 conspiracy? 22 MR. BEAM: For the purposes of the conspiracy, I 23 think that's what the evidence establishes. 24 THE COURT: Right.

Whether I like it or not, I think that's

MR. BEAM:

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what it established.

THE COURT: Right. Under 371, you have to identify one overt act. One overt act would be to purchase.

MR. BEAM: Correct. Yes, sir.

THE COURT: It appears as a matter of law, because there's no dispute the clip was in the box, that if the conspiracy is the charge that we're talking about, then the Government prevailed.

Now, let's go to the other charge, the 922(g), and I'll hear argument on that.

MR. BEAM: Well, the 922(g), Your Honor, I think it's pretty clear that that issue is the possession of the ammunition and the magazine. That was never in close proximity to the weapon regardless factually.

THE COURT: The Government is arguing that it was intended to be, and that is part of the underlying offense of 922(g), right? That you're looking at -- well, not the 922(g), per se, but you're looking at what was intended of the parties in the illegal possession of the ammunition.

Why is that wrong that you can't look at the intent even if the weapons weren't close -- the weapon and the clip are not close together at any given time? They're intended to be close together.

MR. BEAM: I can only cite the Court to the commentary. If you have a weapon like this that uses a

magazine like that, I would say that any time you had somebody in possession of that firearm, that would -- if you looked at it, that would be the intent. But that's not what the commentary says. It says the magazine has to be in close proximity. They could have certainly said that if that's what they meant. They said it had to be in close physical proximity. This is how they drafted the commentary, and I don't think anybody disputes that there wasn't no close physical proximity. In other words, it seems to refer to it more in the factual matter as in the intended matter.

THE COURT: Mr. Savage, so for argument purposes, let's assume that the Court can't use what's actually intended for purposes of the Count Two, the 922(g). Do I need -- do I need to even address that since conspiracy --

MR. SAVAGE: No, Your Honor. I don't think you do.

THE COURT: -- 2X1.1 attaches to -- to the guidelines provision, the section that applies to 922(g)s?

MR. SAVAGE: No, Your Honor. I don't think you do. We were, quite frankly, relying on Count One. Although it's not mandatory binding authority in this case, we cited one case. There hasn't been a lot of cases, which is U.S. v. Curruth, which was decided in 2011 out of the Eighth Circuit which had somewhat similar circumstances here except it didn't have a conspiracy. And what the Court found in that case -- I think this Court needs to find the operable words

in Comment 2 are what is the time of the offense. 1 2 think the time of the offense here is going to be the 3 conspiracy to purchase -- the straw purchase, the lie and 4 buy. 5 So you're saying the time of the THE COURT: 6 substantive offense is the time of the whole scope of the 7 conspiracy? 8 MR. SAVAGE: Yes, Your Honor. And that begins 9 with --10 THE COURT: And that's true under wire fraud and 11 mail fraud. It's an ongoing scheme. 12 MR. SAVAGE: Right. 13 THE COURT: I understand that. Is that 922(q)? 14 MR. SAVAGE: Well, it would be at least -- see, if 15 there was a conspiracy, if the -- if the Court looks at the 16 indictment in this case -- I'm sorry, the information, it 17 specifies what the dates are. But more than that, it has --

THE COURT: Doesn't a 922(g) begin and continue?

THE COURT: Well -- well, how -- it begins when the substantive count begins when an individual takes possession of a firearm or ammunition when they're a prohibited person.

Doesn't it end when they are no longer possessing the firearm or ammo? How can it continue beyond that?

MR. SAVAGE: Even after the conspiracy.

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MR. SAVAGE: Well, in the Curruth case, they noted

there was a time that the defendant in that particular case accepted a box with ammunition with it. And later on when the search occurred, they searched the house and didn't find any ammunition or any of the things that had to do with the search. They went back and said, well, if during the time of the offense the defendant was -- was a convicted prohibited person and he had received the firearm knowingly, then that put him in proximity. And as long as it meets the requirements of 2K2.1, then at that point in time, the defendant's responsible.

I go back to our particular case comparing that to ours and look at the Count One of the conspiracy and it has two objects. One is to defraud the United States by impairing and impeding the FBI in its regulation of firearms, which includes in this case the fact that Mr. Litteral with the conspiracy agreement of Mr. Barker went to do the lie and buy and filled out the forms falsely and intended to receive a gun to give to Mr. Barker in violation of all those things.

THE COURT: Right.

MR. SAVAGE: Then there are the substantive violations which include 922(a)(6), 922(g)(1), and by the way, 922(g)(3), because the evidence would show at the same time as Mr. Barker was giving a gun to Mr. -- Mr. Litteral was giving a gun to Mr. Barker, he was also giving him prescription medication and he was addicted.

THE COURT: Right. The other -- the other bases for a person being prohibited. One of the many.

MR. SAVAGE: For all of those reasons, he would have been prohibited at the time of the conspirators intended that he receive the qun.

But if the Court feels uncomfortable with that, it can simply go with the fact at the time of the conspiracy of the act -- the overt act here, which is the lie and buy at Gander Mountain, that the box had the magazine in it at the time and they're very closely together. They don't have to be ammunition in it. It just has to have a magazine.

So if the Court finds at the time of the offense begins with a conspiracy which is no later than March 1 and continuing until no later than August 1, 2015, and during that time one or more of the conspirators was in possession of an AR-15 with a 30-round magazine in proximity to it, then I think that satisfies the requirements of both 2K2.2 and by analogy the cross-reference to the conspiracy guideline, and also 2B1. -- is it 2B1.1, which requires that all relevant conduct, the definition of offense be included in the Court's consideration.

I think the Court merely needs to make findings as to those things and that would sustain -- that would overrule the defendant's objections.

THE COURT: Let me ask the probation office. I

believe the probation officer relied on the conspiracy 1 2 perspective view --3 THE PROBATION OFFICER: THE COURT: -- versus the actual intended view for 4 5 the substantive count? 6 THE PROBATION OFFICER: Yes, Your Honor. 7 THE COURT: All right. And I'm comfortable, and I 8 believe it's legally correct what the probation has done, and 9 that is, to rely on the conspiracy theory. The other might 10 be legally correct, but when I think one is -- is clear, I'm going to rely on that, and I'm -- I find it unnecessary to 11 reach the other issue. 12 13 So the objection is overruled. The Court believes 14

So the objection is overruled. The Court believes that the conspiracy, Section 371 of Title 18 uses the base offense level of 22 from 2K2.1 because 2X1.1 directs the conspiracy guideline to apply the base offense level from --- from the substantive offense.

MR. SAVAGE: Your Honor, can I ask? Just does the Court find as a matter of fact that the co-conspirator,
Mr. Litteral, was in possession of both the weapon and the -- and the --

THE COURT: Yes.

MR. SAVAGE: -- and the magazine?

THE COURT: The short answer is yes.

MR. SAVAGE: Thank you.

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THE COURT: I think it's an undisputed purchase at 1 2 Gander Mountain as long as he was possessing -- from what we 3 know, possessing the box. 4 MR. SAVAGE: Yes, sir. 5 THE COURT: That the clip and the weapon were in 6 close proximity inside the box. 7 MR. SAVAGE: Thank you, Your Honor. 8 THE COURT: So, yes, that's a finding that the 9 Government has presented evidence sufficient by the 10 preponderance of the evidence for that finding of fact. There's no other objections, correct? 11 All right. 12 That is correct, Your Honor. MR. BEAM: 13 THE COURT: All right. So based on the Court's 14 rulings and the presentence report, the Court will now 15 calculate the advisory guidelines. 16 The guidelines provide -- and this is before 17 consideration of any motion by the United States. 18 guidelines provide for an offense level of 19, a criminal 19 history category I, for a guideline range of 30 to 37 months. 20 Do the parties agree those are the appropriate 21 advisory guidelines based on this Court's rulings as well as 22 the presentence report before consideration of any motion by 23 the United States? 24 MR. SAVAGE: Yes, Your Honor.

Yes, sir.

MR. BEAM:

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THE COURT: Thank you.

Now, defendant -- excuse me. The Government has filed a motion. It's under seal. The Court has read the motion. I'll allow Mr. Savage to elaborate on the motion if he'd like.

MR. SAVAGE: Your Honor, as the Court knows, in this particular case the Government had a pretty good case going into this -- this matter. We had --

THE COURT: Right.

MR. SAVAGE: -- electronic surveillance. We had a lot of -- a lot of information, but I think that it was made immensely easier when Mr. Barker, who was one of the first persons to enter a plea of guilty, both admitted to what he did. To Mr. Barker's credit, I think he tried to -- he tried to protect his friend at least initially during the interviews. And by the way, Your Honor, in this case the FBI recorded the interviews pursuant to its new policy.

THE COURT: This is the first -- this is the first case I've heard that happen.

MR. SAVAGE: Okay. And certainly those are available to the Court if you wish to see them.

But I would note that once Mr. Barker came to grips with Mr. Litteral and what he had done, I think he was completely clean. It was important -- I think you could almost see in the tape the relief on Mr. Barker's face where

he just simply said, I'm addicted. I'm addicted to these pills. It was the OxyContin and the Hydrocodone. And I think that had a great deal of influence in the fact that Mr. Barker who is, you know, trying to live a life, already has a conviction from a long time ago, trying to do a good job, and then he has Mr. Litteral, who I think is literally nagging him for things like pipes and pipe ends and trying to get him involved in this whole business about anti-government conspiracy and Mr. Obama is going to come and take their guns, and Jake Helms is a subterfuge for the NATO takeover in the country. All of these things, which we would agree are ridiculous theories, I think were very much an important part in Mr. Litteral's life. He tried to voice them on Mr. Barker, who at the time was coping with a drug addiction and also trying to make a living and trying to learn right from -- to do the right thing.

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So for those reasons, I think it was very hard for Mr. Barker to come across; but once he did, he did so completely, honestly, readily. He didn't spare himself. I think he could have said a lot of things that would have protected himself, but I think he put himself out there as well as other defendants in this case. He was quite clear with the third defendant that he didn't know a lot about it. He didn't try and make things up. He didn't reach.

For those reasons, we think that -- we usually -- I

can't say that we have a hard-fast rule, but we think 30 percent -- he didn't testify -- is a generous and appropriate departure.

That said, Your Honor, we still think there should still be some punishment in this case. It's a serious offense. We think if the Court went to an offense level 16 and imposed a sentence within that guideline, that that would reward I think cooperation, encourage cooperation by other people similarly situated, which Your Honor knows is very important to the business we do. But also, when we get to the 3553(a) factors, also count for the severity of the offense and the characteristics of this defendant.

THE COURT: All right. Thank you. As the Court said, it will grant the motion under 5K1.1 and it will reserve ruling on the extent of the departure until it's heard from both counsel.

Mr. Beam.

MR. BEAM: Yes, sir. Mr. Savage's summation of what Mr. Barker did is a fair summation, Your Honor, but I want to go further than that. Mr. Barker what I'm admitting was someone coming off of the use of the opiates. I'm sure the Court is aware of the physical and mental issues that are given to someone who experiences this when they're coming off of the use of those items.

THE COURT: Right.

MR. BEAM: And that's been noted several times by the agents who when they came to interview Mr. Barker, they literally looked at me said it's like looking at a different person.

THE COURT: Uhm-hum.

MR. BEAM: Mr. Barker is someone who is an intelligent gentleman. Clearly, you can see from the information in the presentence report, yes, he has the conviction from a long time ago, but since then he conformed his life.

THE COURT: Right.

MR. BEAM: Had a good job, had a daughter that he was supporting. Until he got into this situation that many people go through, he ended up in a domestic situation that resulted in his divorce. And when people go through that situation, many of them deal with it in different ways. There's probably some depression that results from that, the loss of the normalcy as well as just many people go through a period of depression. Some turn to counseling. Some turn to serious workouts at gyms. Unfortunately, Mr. Barker chose to take the opiates, and I have a feeling I'll tell the Court that's the reason he's here. I suspect he would not have made the stupid decisions that ended him up here other than he found himself in that predicament.

I know for a fact he told the agents, you know,

once he got in the position of using the opiates, he wanted to do anything he could to help his supplier, but he didn't go too far. I think the Government would agree that where Mr. Litteral was trying to get him to provide certain items for the pipe bomb, he purposely provided them because it wouldn't work. He wanted to make sure his supply was still in order, but he did not. He had got to a point where he was getting involved in that.

Someone who once he started to -- once the opiates started to clear out of his system, someone who understood the position he was in, wanted to make amends, which he's done as much as he possibly could.

And I will tell the Court we spoke several times about, you know, whether he wishes to have a hearing to determine whether he could get on pretrial release. My client literally looked at me and said, No, I'm here. I just want to do my time. I want to get it over with.

He's someone who has fully accepted the responsibility. Someone who is capable of returning to society in a meaningful manner. Quite frankly, we're hopeful that he'll be able to get the same job or a job with the same company once he is released. I think it's safe to say he was a valuable employee. Once he got in the position he was in, they terminated him, obviously.

THE COURT: Right.

MR. BEAM: Based on some of the information that I've gathered, there is a likelihood that he -- they'll hire him back because he has some fairly specialized knowledge that apparently he does a good job at.

He spent approximately five and a half months in the Mecklenburg County Jail, and I think it's safe to say that Mr. Barker has spent a great deal of time thinking about his position, thinking about how he ended up here and understanding what he's going to need to do once he is released.

I understand the Government's recommendation. We appreciate the recommendation, but we're going to ask the Court to do more than that. We're going to ask the Court to impose a sentence of 18 months, but that being at level 13 as a nine-month active split with nine months of house arrest. I think given when you look at Mr. Barker's overall body of work, that addresses all the factors in 18 U.S.C. 3553(a), protects society. It is not saying that this is a trivial matter. Mr. Barker understands it was not, but it does protect society, encourages people to help the Government, and deals with all the other factors in a reasonable manner.

THE COURT: So you're asking to depart not down to the 16 but down to 13?

MR. BEAM: Correct. For the split sentence it has to be down to 13.

THE COURT: Right.

MR. BEAM: I believe that's the highest level someone can get a split sentence in.

THE COURT: Right. That's the Zone 3 -- excuse me. Zone C, not Zone 3.

MR. BEAM: Zone C, yes, sir.

THE COURT: All right. Thank you.

MR. BEAM: Thank you.

THE COURT: Mr. Barker, you have the right to address the Court if you so choose.

THE DEFENDANT: I do. Your Honor, to be honest, I had a speech that I had planned, but between Mr. Savage and Mr. Beam, I think they've covered about 75 percent of it.

I just wanted to address the Court and say that since I've been incarcerated, I definitely had a lot of time to reflect on everything that's taken place, and I think most of my bad decisions were rooted in my addiction or dependence to the prescription pain medication.

There's a couple of things good that has happened to me since I've been in here. One of them I definitely got my mind and my body. I'm thinking clearly for the first time in about two years. So I've also noticed that I've had a tremendous family support network that I should have been leaning on that I can lean on now, but, you know, I should have been leaning on before all this had taken place.

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So I definitely wouldn't consider myself a repeat offender, and I'm truly remorseful for everything that's happened.

THE COURT: All right. Thank you very much, sir.

THE DEFENDANT: You're welcome.

THE COURT: Mr. Savage.

Your Honor, I stand by everything we MR. SAVAGE: said; however, I think that the Court has to look at the nature and history of these -- has to look at the seriousness of this offense. And all you have to do to look at the seriousness of the offense is to look at this weapon. It's not a deer rifle. It's not a shotqun. It shoots 30 rounds with the press of a finger. It had two clips that could have been used with it. It's a serious thing. And it was going to be used because we know that because the defendant bought 100 rounds of ammunition with it.

Now, I think, Your Honor, that given all of that, we hear a lot today about why doesn't -- why doesn't the Government enforce the laws that are under the Court? Well, this is where we are. This is where the rubber meets the road. There has to be both a specific and general deterrence.

We understand there are mitigating factors in this case, but without an active prison sentence, Mr. Barker has to ask himself: Am I going to be more afraid of being back

here in the future, or am I going to be more afraid of offending Mr. Barker -- or Mr. Litteral or some other defendant? What else when some other stress comes up in his life is he going to be able to say, "I'm going to do right thing because I don't want to be here"? For that, it requires a just punishment for this offense.

Then there's the issue of general deterrence. Not that the Court should issue a sentence based on general deterrence, but anyone else that wants to look at these circumstances, anybody else who wants to get involved in an anti-government cause, anybody that wants to get involved with prescription drugs should know if they do so and they violate the law with a weapon like this, there will be consequences and they will be severe.

So for those reasons, Your Honor, we think that a level 16 is just about right. It's not too much and it is not too little. It's just about right.

THE COURT: Thank you.

The Court notes that the two counts of conviction do not have identifiable victims. The United States and society as a whole are the victims of crimes such as conspiracy to defraud the United States and possession of a firearm by a prohibited person. Therefore, the Justice for All Act and the Mandatory Victim Restitution Act do not apply.

I'm presuming there's a forfeiture in this case 1 2 because of the Government's possessing the AR-15. Or is that 3 not happening? Your Honor, that, actually we received 4 MR. SAVAGE: 5 pursuant to a grand jury subpoena from Gander Mountain, and 6 we're going to ask for permission to return that at the 7 conclusion of Mr. Litteral's --8 THE COURT: So that wasn't the actual? 9 MR. SAVAGE: No, it is the actual qun, but Gander 10 Mountain possessed it pending the paperwork. THE COURT: Oh, I see. 11 12 MR. SAVAGE: Okay? So this is the actual qun. 13 Before they could sell it to somebody else, we would have --14 THE COURT: I understand. So that will obviously 15 not be forfeited. It will be returned. 16 MR. SAVAGE: It will be returned to its owner. There were items that were seized, which would include the 17 The various items that are there are simply the items 18 19 seized that are prohibited contraband. I think we returned everything else. 20 21 THE COURT: All right. So there's no forfeiture as 22 to Mr. Barker? 23 There was some items that the Court MR. SAVAGE: 24 could note.

THE COURT: There was a forfeiture order?

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MR. SAVAGE: Yes, Your Honor.

THE DEPUTY CLERK: No. 41.

THE COURT: No. 41.

MR. SAVAGE: Yes, sir.

THE COURT: That's been filed that the Court

reviewed.

MR. SAVAGE: Yes, Your Honor.

THE COURT: Yeah. Okay.

Mr. Barker, there's a three-step process this Court must go through in determining the appropriate and reasonable sentence in your case. This three-step process is set forth in a series of Supreme Court decisions, starting with *United States v. Booker*.

The first step in the process, the Court is required to calculate the advisory guideline range. As you are aware, at the beginning of this hearing the Court did determine your guideline range to be 30 to 37 months. That is just advisory. The Court's not bound by that range, but the Court must calculate it as the starting point for the appropriate sentence in your case.

The second step in the process, the Court is to consider all the bases for departures that are set forth in the policy statements of the U.S. Sentencing Guidelines

Manual. I'm holding a copy of the manual up. The vast majority of those departures the Court determines whether

departure should be made or not. There is, though, the exception as 5K1.1. The Government determines if you're entitled to a departure in that case; that is, provided substantial assistance to the United States.

In this case, as you're aware, the Government has made the motion to depart pursuant to 5K1.1, and the Court granted that motion a few moments ago, but now the Court has to rule on that departure.

The Government has recommended a departure from offense level 19 to offense level 16. Mr. Beam, your counsel, has recommended a departure from offense level 19 to offense level 13. In ruling on a substantial assistance departure, what drives the Court in determining the appropriate departure is your substantial assistance, the extent of your substantial assistance, the risk you take in providing substantial assistance, the advancement of investigations that your substantial assistance provides, all of those things that further the Government's mission of combating criminal activity, and specifically where it can help in the ongoing investigation.

I've heard from both counsel. There's no scientific or rigid mathematical formula in determining what is an appropriate departure. But in this district, or at least the custom by this Court, the Court hears argument from both parties as to the extent of your substantial assistance.

Generally, the most this Court gives as a departure in those rare cases where the assistance was extraordinary, such as putting yourself at risk by doing undercover operations or testifying in a courtroom, things like that, you know, the Court usually doesn't give more than a 50 percent departure from the low end of the guideline range. The more -- in more cases, there's a departure, such as the one in this case, where the Government is recommending what they say is a 30 percent departure. That's 30 percent down from the low end of the original range. That seems to be the applicable level of substantial assistance in your case from hearing arguments from both counsel. That would be the three-level departure the Government recommends down to offense level 16, criminal history category I, for a range of 21 to 27 months.

Your counsel's argument of a six-level departure down to an 18-month sentence, that would be a split sentence under Zone C of the sentencing table, in this Court's opinion seems to be a greater departure than your level of substantial assistance.

I will also address this in the context of a variance in a moment. But as to substantial assistance, I believe the Government's recommendation seems to be appropriate. Therefore, as the Court said a moment ago, it will depart three levels to offense level 16, criminal history category I, range of 21 to 27 months.

Now, the third step in the sentencing process, the Court is to consider a series of sentencing factors that were enacted by Congress to guide courts in fashioning sentences that are sufficient, but not greater than necessary, to accomplish the goals of sentencing. The Court has considered all those sentencing factors and wants to highlight some that are particularly important in your case.

One is the nature and circumstances of the offense. You were involved in a very unusual conspiracy. As

Mr. Savage has called, an "anti-government conspiracy."

We certainly have incredible rights in this country where we can vocally disagree with our leadership, our elected leaders, our appointed leaders, but one thing we can't do is cross the line and use the threat of force or actually use force to exercise our political beliefs, and that's what you co-conspired to do. But I do agree with both counsel, you were under the influence of prescription drugs, puts you somewhat in a fog. The Court does believe you consciously knew what you were doing, you did understand right from wrong, but you were in such a state that you were manipulated by your co-conspirator, and I think that's very relevant in considering the sentence in your case.

But nonetheless, the nature and circumstances of the offense and the seriousness of the offense are severe. You were involved in an anti-government conspiracy, and it's something that the Court doesn't believe is the appropriate way to show your displeasure with the leadership of the United States.

The Court also wants to look at deterrence. That was something that Mr. Savage emphasized. This Court does not believe you're doing to be a threat in the future. I think you're very remorseful. You said that a moment ago. You said you're not going to be a repeat offender, and I believe you. So I don't think there's any need to specifically deter you, but I do agree that general deterrence is very important.

General deterrence is very critical in your case not because you possessed a firearm when you had a prior conviction. That is certainly something that prohibits you from possessing a firearm. But as there's a lot of public debate going on right now about the enforcement of firearms laws and that wrong people getting firearms, but there's not enough meaningful discussion about who the wrong people are. However, if you read Section 922(g) of Title 18, U.S. Code, there's a list of them, and we frequently talk about convicted felons possessing firearms or ammunition, but we rarely talk about another prohibited person, and that is a drug abuser. If you're addicted to drugs, whether you've been convicted of a felony or not, you are not to possess a firearm. And in a time we're concerned about people who have

mental illness and drug addictions possessing and using firearms in the worst possible way.

It's very important to this Court to send a message that if you are a drug abuser, you are legally dispossessed of the constitutional right of possessing a firearm because you've gone through -- because you've put yourself in that position of not being trustworthy. And so general deterrence is very important in this case, and that is why the Court believes the very short sentence of active time of only nine months recommended by your counsel wouldn't be appropriate as a variance either.

The Court is concerned about your rehabilitation.

I think you've come a long way in dealing with your addiction. I think that's fantastic. I'm going to recommend that you continue on with substance abuse treatment. I'm certainly going to recommend it to the Bureau of Prisons, but I'm also going to impose it as a condition of your supervision while you're on supervised release.

Now, I did just want to add that your history and characteristics do remind this Court of the fact that you've had a drug addiction. While that doesn't at all excuse you from criminal conduct, it also shows this Court that the drug addiction somewhat played into, as I've already said, into your agreement with Mr. Litteral to enter into a conspiracy against the United States of America.

So the Court has considered all the sentencing factors at Section 3553(a). The Court has highlighted several sentencing factors that it believes are particularly important in this case. Through the consideration of the sentencing factors, the Court, as it's articulated, has concluded that a sentence at the low end of the guideline range based on the departed range is appropriate in this case. A further variance would not be appropriate.

With that said, the Court will now state a sentence that it believes is sufficient, but not greater than necessary, to accomplish the goals of sentencing.

One moment.

(Pause.)

THE COURT: The Court proposes the following sentence:

Pursuant to the Sentencing Reform Act of 1984 and United States v. Booker, it's the judgment of the Court, having considered the factors noted in 18 U.S.C. Section 3553(a), that defendant, Christopher James Barker, is hereby committed to the custody of the United States Bureau of Prisons to be in prison for a term of 21 months on each count to be served concurrently.

The Court further recommends that the defendant be allowed to participate in any educational and vocational opportunities while incarcerated.

The Court calls the attention of the custodial authorities that the defendant has a history of substance abuse and recommends the defendant be allowed to participate in any available substance abuse treatment programs while incarcerated and if eligible receive benefit of Title 18, U.S. Code, Section 3621(e)(2).

Upon release from imprisonment, defendant shall be placed on supervised release for a term of two years. This term consists of terms of two years on each of Counts One and Two. All such terms to run concurrently.

Within 72 hours of release from the custody of the Bureau of Prisons, defendant shall report in person to the probation office in the district to which the defendant is released.

While on supervised release, the defendant shall not commit another federal, state, or local crime, and shall comply with the standard conditions that have been adopted by the Court in the Western District of North Carolina.

It's further ordered defendant shall pay the United States a special assessment of \$200.

The Court finds the defendant does not have the ability to pay a fine or interest. The Court, having considered the factors noted in 18 U.S.C. Section 3572(a), will waive payment of a fine and interest in this case.

The defendant shall forfeit defendant's interests

in any properties identified by the United States. The Court incorporates by reference Document No. 41 in the Electronic Case File, which is a consent judgment of forfeiture.

Payment of the criminal monetary penalties shall be due and payable immediately.

The Court has considered the financial and other information contained in the presentence report and finds the following is feasible: If the defendant is unable to pay any monetary penalty immediately, during the period of imprisonment, payment shall be made through the Federal Bureau of Prison's Inmate Financial Responsibility Program. Upon release from imprisonment any remaining balance shall be paid in monthly installments of no less than \$50 to commence within 60 days until paid in full.

Throughout the period of supervision the probation officer shall monitor defendant's economic circumstances and shall report to the Court with recommendations, as warranted, any material changes that affect the defendant's ability to pay any court ordered penalties.

Mr. Beam, place of designation?

MR. BEAM: As close to Charlotte as possible. Gastonia. As close to Gastonia as possible.

THE COURT: All right. The Court would recommend to the Bureau of Prisons that the defendant be designated as close to Gastonia, North Carolina, as possible.

Now, I ask counsel is there's any legal reason why 1 2 the sentence should not be imposed as stated? 3 MR. SAVAGE: No, sir. 4 MR. BEAM: No, sir. 5 All right. Thank you. THE COURT: 6 (The Court and probation conferred off the record.) 7 The probation officer is reminding me THE COURT: 8 that I meant to have as a condition of his supervision that 9 the defendant be placed on substance abuse treatment at the 10 direction of the U.S. probation officer. 11 Thank you very much. This is a bill of information, correct? 12 All right. 13 MR. SAVAGE: Yes, Your Honor. 14 THE COURT: There's no counts to be dismissed. 15 All right. Mr. Barker, in exchange for benefits 16 you receive for entering into the plea of guilty with the 17 United States, you've waived your right to contest your 18 conviction and sentence either on direct appeal or in a 19 separate civil action sometimes referred to a habeas corpus 20 motion or a 2255. However, you have preserved your right to appeal claims of ineffective assistance of counsel and claims 21 22 of prosecutorial misconduct. 23 Do you understand this limitation on your right of

THE DEFENDANT:

Yes, sir.

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appeal?

THE COURT: All right. Now, if you do choose to 1 2 appeal, any notice of appeal must be filed within 14 calendar 3 days from the date of written judgment. This Court usually hands down the written judgment one to two weeks after this 4 5 sentencing hearing. If you're unable to pay the cost of an 6 appeal, you may apply for leave to appeal at no cost to you. 7 If you so request, the Clerk of Court will prepare and file a 8 notice of appeal on your behalf. The Court recommends you 9 talk to Mr. Beam about these appeal rights and procedures. 10 Do you understand these appeal rights and procedures as the Court has stated them to you today? 11 12 THE DEFENDANT: T do. 13

THE COURT: All right. So you are currently in the custody of the U.S. Marshal Service. You will be transferred to the U.S. Bureau of Prisons for service of your sentence. That process takes anywhere from two weeks to two months.

Do you have any questions for the Court?

THE DEFENDANT: No, sir, I do not.

THE COURT: Anything else from counsel?

MR. SAVAGE: Your Honor, we request permission to substitute the photographs for the record of Government's Exhibit 1.

THE COURT: Yes. Granted. So the property, the AR-15 can be returned to Gander Mountain.

Anything else, Mr. Beam?

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MR. BEAM: No, sir. THE COURT: All right. Then the sentence as proposed is hereby ordered imposed. Good luck, sir. (The proceedings concluded at 3:00 p.m.)

UNITED STATES DISTRICT COURT WESTERN DISTRICT OF NORTH CAROLINA

CERTIFICATE OF OFFICIAL REPORTER

I, Jillian M. Turner, RMR, CRR, CLR, Federal Official Court Reporter, in and for the United States District Court for the Western District of North Carolina, do hereby certify that pursuant to Section 753, Title 28, United States Code, that the foregoing is a true and correct transcript of the stenographically reported proceedings held in the above-entitled matter and that the transcript page format is in conformance with the regulations of the Judicial Conference of the United States.

Dated this the 4th day of March 2016.

/s/ Jillian M. Turner Jillian M. Turner, RMR, CRR, CLR U.S. Official Court Reporter